

REFRESHMENT

Post-traumatic stress disorder: update on diagnosis and treatment

Joshua C. Morganstein , Gary H. Wynn & James C. West

Joshua C. Morganstein, MD, is an Associate Professor of Psychiatry in the Department of Psychiatry at the Center for the Study of Traumatic Stress (CSTS), Uniformed Services University of the Health Sciences, Bethesda, MD, USA. He is a Distinguished Fellow and Chair of the Committee on the Psychiatric Dimensions of Disaster for the American Psychiatric Association. His research interests include understanding the psychological and behavioural impact of disasters and public health emergencies on individuals and communities and strategies to mitigate these effects.

Gary H. Wynn, MD, is Professor of Psychiatry and Neuroscience in the Department of Psychiatry at the CSTS, Bethesda, MD, USA. He is a Distinguished Fellow of the American Psychiatric Association, former President of the Society of Uniformed Services Psychiatrists, and a US Representative and Chair of two NATO panels on military mental health. **James C. West**, MD, is Associate Professor of Psychiatry in the Department of Psychiatry at the CSTS, Bethesda, MD, USA. He is a Distinguished Fellow of the American Psychiatric Association. His research interests include translating understanding of biological underpinnings of PTSD and trauma-related disorders into more effective treatments.

Correspondence Joshua Morganstein. Email: joshua.morganstein@usuhs.edu

First received 22 Sep 2020

Final revision 30 Jan 2021

Accepted 1 Feb 2021

Copyright and usage

© The Authors 2021. Published by Cambridge University Press on behalf of the Royal College of Psychiatrists.

SUMMARY

Post-traumatic stress disorder (PTSD) is a common trauma and stressor-related disorder. Trauma-focused psychotherapies and selective serotonin reuptake inhibitors represent current state of the art treatment for PTSD, with current evidence favouring psychotherapy as first-line treatment. Much room remains for development of more effective therapeutics. This article give a brief update on diagnosis and treatment of PTSD.

KEYWORDS

Post-traumatic stress disorder; stress related; mental disorders; therapeutics; complementary therapies.

More than half of the general population experiences a traumatic event in their lifetime, with the most common being accidents and injuries, unexpected death of a loved one, witnessing someone seriously injured or killed and being the victim of a crime. Approximately 6.8% of people will ultimately develop post-traumatic stress disorder (PTSD) (Kessler 2017), which can be a debilitating condition characterised by avoidance, intrusive memories, hyperarousal and negative alterations in mood and cognition. Established treatment options include psychotherapy and pharmacotherapy, with newer interventions expanding options for the future.

Diagnosis

Diagnostic criteria for PTSD vary between DSM-5, ICD-10 and ICD-11, but all three require one or more exposures to extremely threatening or horrific events (Table 1). DSM-5 requires a minimum six symptoms from four clusters (re-experiencing, avoidance, negative alterations in cognition and mood, and altered arousal), whereas ICD-10 requires four symptoms from three clusters. A significant difference between ICD-10 and DSM-5 is the incorporation of ‘negative alterations in cognition and mood’ into the DSM criteria. ICD-11 requires three symptoms, including re-experiencing, avoidance and persistent perception of heightened threat. Multiple studies have shown that, in general, individuals evaluated for PTSD under

criteria prior to ICD-11 have fewer overall PTSD diagnoses (Brewin 2017).

Included in ICD-11 is a new diagnosis, complex post-traumatic stress disorder. Complex PTSD is generally applied to individuals with multiple severe prolonged traumas. In addition to the usual PTSD diagnostic criteria, complex PTSD includes the criteria of (a) problems in affect regulation, (b) distorted beliefs of self, including shame, (c) guilt or worthlessness, and (d) difficulty sustaining relationships. Complex PTSD is not fully understood, and more research is needed to identify the extent of comorbidity, develop diagnostic assessment instruments, and articulate the extent to which modified or alternative treatments are needed.

Another emerging concept in diagnosis and treatment of PTSD is moral injury. Refined through the study of deployed military personnel exposed to traumatic events, moral injury is defined as perpetrating, failing to prevent or bearing witness to acts that transgress deeply held moral beliefs. Moral injury has been associated with more severe PTSD symptoms, higher rates of comorbid depression, diminished functioning, greater likelihood of suicidality and poorer response to psychotherapy (Griffin 2019). Moral injury in healthcare workers has emerged as a concern during the COVID-19 outbreak owing to the shift to crisis standards of care, with personnel working under extreme stress with limited resources and faced with unprecedented triage decisions. It remains unclear whether the presence of moral injury necessitates alternative treatments.

Broadly, risk for PTSD is the result of a complex interplay between pre-event, event and post-event factors (Brewin 2000). Predisposing factors include low socioeconomic status, limited social support, female gender and genetics. Event characteristics that increase risk include intentional acts of violence, physical injury, death of a loved one, moral injury and psychological identification (i.e. thoughts such as ‘That could be me or my loved one’). Diminished social connections, job loss and relationship difficulties have all been associated with elevated risk of developing PTSD and other disorders following exposure to trauma. Although no single factor predicts PTSD, an understanding of the extent of risk for an individual or community

TABLE 1 Comparison of diagnostic criteria for post-traumatic stress disorder (PTSD)

	DSM-5	ICD-10	ICD-11
Trauma	Exposure to death, threatened death, actual or threatened serious injury, or actual or threatened sexual violence, in one of four specified ways	Exposure to a stressful event or situation (either short or long lasting) of exceptionally threatening or catastrophic nature, which is likely to cause pervasive distress in almost anyone	Exposure to an extremely threatening or horrific event or series of events
Re-experiencing	The traumatic event is persistently re-experienced in one or more of five specified ways	Persistent remembering or 'reliving' the stressor in one or more of three ways	Re-experiencing the traumatic event or events in one or more of three ways
Avoidance	Avoidance of trauma-related stimuli after the trauma, in one or two ways	Actual or preferred avoidance of circumstances resembling or associated with the stressor (not present before exposure to the stressor)	Avoidance of thoughts and memories of the event or events, or avoidance of activities, situations, or people reminiscent of the event(s)
Negative alterations in cognition and mood	Negative thoughts or feelings that began or worsened after the trauma, in two or more of seven ways	(a) Inability to recall, either partially or completely, some important aspects of the period of exposure to the stressor ^a	Not a criterion
Altered arousal	Trauma-related arousal and reactivity that began or worsened after the trauma, in two or more of six specified ways	(b) Persistent symptoms of increased psychological sensitivity and arousal (not present before exposure to the stressor) shown by any two of five ways ^a	Persistent perceptions of heightened current threat, e.g. as indicated by hypervigilance or an enhanced startle reaction to stimuli such as unexpected noises
Duration	Symptoms last for more than 1 month	Onset follows the trauma with a latency period that may range from a few weeks to months	The symptoms persist for at least several weeks
Complex PTSD?	Not specified	Not specified	Severe and persistent: (a) problems in affect regulation; (b) beliefs about oneself as diminished, defeated or worthless, accompanied by feelings of shame, guilt or failure related to the traumatic event; and (c) difficulties in sustaining relationships and in feeling close to others

a. ICD-10 requires either (a) or (b).

Sources: DSM-5: <https://dsm.psychiatryonline.org/>. ICD-10: <https://www.who.int/classifications/icd/en/bluebook.pdf>. ICD-11: <https://icd.who.int/en>.

affected by trauma assists in estimating risk and effectively targeting interventions.

Treatment

Current evidence-based treatment options for PTSD focus on psychotherapy, with a small selection of pharmacotherapies (Table 2). Patient adherence to medications is a frequent problem, often as a result of side-effects, patient perceptions or limited clinical benefit. Psychotherapies are generally considered more effective but require an extended time commitment and may result in exacerbations of symptoms long before improvement occurs. As a result, maintaining patient engagement in treatment is important for improving prognosis. Newer and more novel treatments are needed to augment the limited options currently available.

The majority of treatment guidelines recommend trauma-focused psychotherapy as a first-line treatment for PTSD, with the strongest body of evidence for cognitive processing therapy and prolonged exposure therapy. Eye-movement desensitisation and reprocessing and narrative exposure therapy also have evidence of efficacy. Common components of trauma-focused psychotherapies include imagined re-exposure to the event and exposure to real-life triggering cues typically avoided. The common goals of trauma-focused therapies are to promote re-exposure to avoided memories, process emotional responses and correct cognitive distortions.

Pharmacotherapy is recommended as second-line therapy or as first-line therapy for those unwilling to engage in psychotherapy. Selective serotonin and serotonin–noradrenaline reuptake inhibitors (SSRIs and SNRIs) are recommended, with paroxetine, fluoxetine and venlafaxine having the most robust evidence, although medications may offer only limited benefit to certain populations (Lee 2016). Prazosin is commonly used to treat nightmares, although there is equivocal efficacy evidence. Treatment for sleep disruption should be an important early target of interventions because improved sleep often reduces irritability and improves concentration, allowing patients to more effectively participate in treatment. Atypical antipsychotics should be used with caution, given their lack of evidence and broad side-effect profile. Trazodone is frequently used clinically, with anecdotal success, for PTSD-related sleep problems and has an excellent safety profile but there is virtually no scientific evidence supporting such use. Benzodiazepines are consistently not recommended for the treatment of PTSD, with some evidence suggesting worse outcomes. Medications used to augment SSRIs include valproate, risperidone, topiramate, pregabalin and mirtazapine, all showing limited or no benefit. Recent augmentation trials with glutamatergic agents, such as riluzole and memantine, have demonstrated limited benefit.

Complementary and alternative medicine (CAM) options have increasingly been explored, although

TABLE 2 Treatment guideline recommendations for post-traumatic stress disorder (PTSD)

	US Department of Veterans Affairs and Department of Defense	World Health Organization	American Psychological Association	International Society for Traumatic Stress Studies	US Agency for Healthcare Research and Quality	Australian National Health and Medical Research Council	UK National Institute for Health and Care Excellence
Title and year	<i>Management of Posttraumatic Stress Disorder and Acute Stress Reaction</i> (2017)	<i>Guidelines for the Management of Conditions Specifically Related to Stress</i> (2013)	<i>Clinical Practice Guideline for the Treatment of Posttraumatic Stress Disorder (PTSD) in Adults</i> (2017)	<i>Effective Treatments for PTSD (Third Edition)</i> (2018)	<i>Psychological and Pharmacological Treatments for Adults with Posttraumatic Stress Disorder</i> (2018)	<i>Australian Guidelines for the Prevention and Treatment of Acute Stress Disorder and Posttraumatic Stress Disorder</i> (2020)	<i>Post-Traumatic Stress Disorder (NICE guideline NG116)</i> (2018)
Psychotherapy recommendation	Trauma-focused psychotherapy	Trauma-focused psychotherapy	Trauma-focused psychotherapy	Trauma-focused psychotherapy	CBT (with exposure or mixed), CPT, cognitive therapy, EMDR, narrative exposure therapy	Trauma-focused psychotherapy	Trauma-focused psychotherapy
Pharmacotherapy recommendation	SSRI or venlafaxine	SSRI or TCA	SSRI or venlafaxine	Fluoxetine, paroxetine, sertraline or venlafaxine	Paroxetine, fluoxetine or venlafaxine	SSRI or venlafaxine	Venlafaxine or SSRI
Guideline link	https://www.healthquality.va.gov/guidelines/mh/ptsd/	https://apps.who.int/iris/bitstream/handle/10665/85119/9/789241505406_eng.pdf?sequence=1	https://www.apa.org/ptsd-guideline	https://istss.org/clinical-resources/treating-trauma/new-istss-prevention-and-treatment-guidelines	https://effectivehealthcare.ahrq.gov/products/ptsd-adult-treatment-update/research-2018	https://www.phoenixaustralia.org/australian-guidelines-for-ptsd/	https://www.nice.org.uk/guidance/ng116

CBT, cognitive-behavioural therapy; CPT, cognitive processing therapy; EMDR, eye-movement desensitisation and reprocessing; SSRI, selective serotonin reuptake inhibitor; TCA, tricyclic antidepressant.

their measured impact on PTSD symptoms appears to be limited (Benedek 2016). Mindfulness-based interventions and yoga offer some benefit in stress reduction, although generalisability of results is often limited owing to the various types of mindfulness and yoga used in different studies. Acupuncture appears to be helpful in stress reduction and is commonly offered as an adjunctive treatment for PTSD with anecdotal success, but with a limited evidence base. Other modalities are available, and a few are even undergoing formal study, but there is limited evidence supporting CAM options, particularly as first-line treatment. Despite the limited evidence, these modalities are often very safe, well-tolerated and increasingly preferred by patients, so their inclusion in a broader treatment plan can be a sensible clinical choice.

Potential systems being explored for the treatment of PTSD include the endocannabinoid system, glutamatergic pathways, the renin-angiotensin system, kappa opioid receptors and the orexin/hypocretin pathway. In addition to these, research is underway with various psychedelic compounds, such as 3,4-methylenedioxymethamphetamine (MDMA) and psilocybin.

Conclusions

PTSD is a common and debilitating mental disorder. Prevention efforts to reduce exposure to traumatic events and early detection and identification of those at highest risk following exposure to trauma are ideal. Technology is beginning to enhance our understanding of genetic factors and biomarkers to more effectively target interventions. Although psychotherapy and pharmacotherapy options exist, they have limitations in their efficacy and tolerability, creating a strong need for newer and novel therapeutic interventions.

Author contributions

J.C.M. made substantial contributions to the conception and design, drafting and revisions, and final approval of this work and is accountable for all aspects of the work. G.H.W. and J.C.W. made substantial contributions to the concept, design, drafting and revisions of this manuscript. The views expressed are those of the authors and do not necessarily reflect the views of the Department of Defense, the Uniformed Services University, the Department of Health and Human Services or the United States Public Health Service.

Funding

This research received no specific grant from any funding agency, commercial or not-for-profit sectors.

Declaration of interest

None.

References

- Benedek DM, Wynn GH (2016) *Complementary and Alternative Medicine for PTSD*. Oxford University Press.
- Brewin CR, Andrews B, Valentine JD (2000) Meta-analysis of risk factors for posttraumatic stress disorder in trauma-exposed adults. *Journal of Consulting and Clinical Psychology*, **68**: 748–66.
- Brewin CR, Cloitre M, Hyland P, et al (2017) A review of current evidence regarding the ICD-11 proposals for diagnosing PTSD and complex PTSD. *Clinical Psychology Review*, **58**: 1–15.
- Griffin BJ, Purcell N, Burkman K, et al (2019) Moral injury: an integrative review. *Journal of Traumatic Stress*, **32**: 350–62.
- Kessler RC, Aguilar-Gaxiola S, Alonso J, et al (2017) Trauma and PTSD in the WHO World Mental Health Surveys. *European Journal of Psychotraumatology*, **8**(suppl 5): 1353383.
- Lee DJ, Schnitzlein CW, Wolf JP, et al (2016) Psychotherapy versus pharmacotherapy for posttraumatic stress disorder: systemic review and meta-analyses to determine first-line treatments. *Depression and Anxiety*, **33**: 792–806.